

TRANSMITTAL SHEET FOR  
NOTICE OF INTENDED ACTION

Control \_\_\_\_\_ Department or Agency: Alabama Dep't of Labor  
Rule No. 480-4-2-.19  
Rule Title: Employer Responsibilities  
\_\_\_\_\_ New X Amend \_\_\_\_\_ Repeal \_\_\_\_\_ Adopt by Reference \_\_\_\_\_

Would the absence of the proposed rule significantly harm or endanger the public health, welfare, or safety? NO

Is there a reasonable relationship between the state's police power and the protection of the public health, safety, or welfare? NO

Is there another, less restrictive method of regulation available that could adequately protect the public? NO

Does the proposed rule have the effect of directly or indirectly increasing the costs of any goods or services involved and, if so, to what degree? NO

Is the increase in cost, if any, more harmful to the public than the harm that might result from the absence of the proposed rule? NO

Are all facets of the rulemaking process designed solely for the purpose of, and so they have, as their primary effect, the protection of the public? YES

Does the proposed action relate to or affect in any manner any litigation which the agency is a party to concerning the subject matter of the proposed rule? NO

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Does the proposed rule have an economic impact? NO

If the proposed rule has an economic impact, the proposed rule is required to be accompanied by a fiscal note prepared in accordance with subsection (f) of Section 41-22-23, Code of Alabama 1975.

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Certification of Authorized Official

I certify that the attached proposed rule has been proposed in full compliance with the requirements of Chapter 22, Title 41, Code of Alabama 1975, and that it conforms to all applicable filing requirements of the Administrative Procedure Division of the Legislative Services Agency.

Signature of certifying officer 

Date 07-10-20

(DATE FILED)  
(STAMP)  
REC'D & FILED  
JUL 10 2020

ALABAMA DEPARTMENT  
OF LABOR

NOTICE OF INTENDED ACTION

AGENCY NAME: ALABAMA DEPARTMENT OF LABOR

RULE NO. & TITLE: 480-4-2-.19; Employer Responsibilities

INTENDED ACTION: Amendment.

SUBSTANCE OF PROPOSED ACTION: To amend the above section under the Administrative Procedures Chapter to update certain provisions.

TIME, PLACE, MANNER OF PRESENTING VIEWS: All interested persons may submit data, views, or arguments in writing by mail or in person to the contact person listed below between the hours of 8:00 am and 4:30 pm, Monday through Friday until and including September 4, 2020. Persons wishing to submit data, views or arguments orally should contact the person listed below by telephone during this period to arrange an appointment.

FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE: September 4, 2020

CONTACT PERSON AT AGENCY:

Joseph S. Ammons  
Alabama Dep't of Labor  
649 Monroe Street, Suite 1801  
Montgomery, AL 36131  
Telephone: (334) 956-7470



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Joseph S. Ammons  
General Counsel

ALABAMA DEPARTMENT OF LABOR  
UNEMPLOYMENT COMPENSATION  
ADMINISTRATIVE CODE

CHAPTER 480-4-2 TAX

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480-4-2-.19 Employer Responsibilities

(1) Posting of Notices and Notifications. Every employer (including every employing unit which has, with the approval of the Secretary Director, become an employer by election under the provisions of the law) shall provide notification of the potential availability of unemployment benefits to individual employees at the time of their separation from employment. Notices to the employees may be made by letter, email, text message, or flyer, and must contain the following information:

Employer Notification to Employees  
of the Availability of Unemployment Compensation

Unemployment Insurance (UI) benefits are available to workers who are unemployed and who meet the requirements of Alabama UI eligibility laws. You may file a UI claim in the first week that employment stops or work hours are reduced.

For assistance or more information about filing a UI claim visit [www.labor.alabama.gov](http://www.labor.alabama.gov)

You will need to provide the Alabama Department of Labor's UI division with the following information in order for the state to process your claim:

1. Your full legal name;

2. Your Social Security Number; and
3. Your authorization to work (if you are not a US Citizen or resident).

To file a UI claim by phone, call: 1-866-234-5382

To file a UI claim online, visit:

<https://continuedclaims.labor.alabama.gov>

If you have questions about the status of your UI claim, you can call the Alabama Department of Labor at 1-800-361-4524 or check your claim status online at

<https://uiclaimstracker.labor.alabama.gov>

Every employer shall additionally post and maintain printed notices to ~~its~~ ~~his~~ workers informing them that ~~it~~ ~~he~~ is liable for contributions under the Alabama Unemployment Compensation Law and has been so registered by the Secretary Director. Such notices shall be furnished by the Secretary Director in such numbers as ~~he~~/~~she~~ may determine to be necessary and shall be posted and maintained in conspicuous places near the actual location where the workers' services are performed. Such notices shall also include information as to the workers' rights to benefits and instructions as to the procedure for registering for work and for filing claims for benefits. No such notice shall be posted or maintained by any person or employing unit to whom an unemployment compensation account number has not been assigned by the Secretary Director or who has ceased to be an employer.

(2) Separation Information.

(a) When a former employee files a new or additional claim for unemployment compensation, the Alabama Unemployment Compensation Agency notifies the last bona fide employer and requests information regarding the reason for the claimant being separated ~~terminated~~. This employer must return the Form BEN 241, Request for Separation Information, ~~to the appropriate local office no later than six (6) working days after the initial mailing date~~ by no later than the response due date listed on the form. ~~(the required return date is printed on the form with the address of the local office).~~ Failure of the

employer to provide this information may be construed to mean that the separation was under conditions that would not be disqualifying. Failure of the employer to provide this information may also deny relief of charges to the employer's experience rating for an overpayment that is caused by the separating employer's failure to respond timely or adequately.

1. An employer may request a separate mailing address for the purpose of receiving requests for separation information and other correspondence regarding a claim for unemployment benefits. This address is in addition to the address to which the quarterly tax reports are to be mailed. A request for a separate mailing address shall be in writing and signed by the employer or it's ~~his~~ authorized representative. The name and address of each separate unit must be included. All requests will be subject to approval by the Secretary ~~Director~~ or his/her authorized representative. If approved, proper reporting instructions will be mailed to the employer. The Secretary ~~Director~~ may for good cause and after proper notice to the employer revoke the approval for separate mailing addresses.

2. The date of mailing, as disclosed by the official U.S. Postal Service postmark, shall be the date that the information was furnished. If the information is received by any method other than the U.S. Mail, the date of actual receipt shall govern.

(b) Notice of discharge for a dishonest or criminal act committed in connection with work, an act of sabotage or an act endangering the safety of others may be furnished by the employer to the Alabama Unemployment Compensation Agency immediately following such discharge. This voluntary notice should be in writing and should include the worker's name, social security number, date of separation and circumstances resulting in such discharge. The failure to provide advance notice of such discharge in no way precludes the Secretary ~~Director~~ from acting upon such information furnished timely in response to the first Agency notice to the employer of a claim.

1. Once a claim is filed, the last separating employer is furnished a Notice of Claim and Request for Separation Information, (Form Ben-241). Base period employers other than the last separating employer are notified of the claim by a Notice of Potential Charge to Your Tax Rating Account (Form Ben-

8A). Information furnished by the respective employers in response to these will be considered timely notice to the Secretary Director as required by Section 25-4-78(3)a, and acted upon accordingly, including monetary redetermination of the claim, provided such response is furnished to the Agency within the time specified on either form. (The required return date and local office address is printed on each Ben 241. Form Ben 8A must be returned to the Department of Labor Industrial Relations, Unemployment Compensation Agency, 649 Monroe Street Montgomery, Alabama 36131, within fifteen (15) days following the mailing date shown on the form).

(c) When the determination is made, a Form BEN-8, Employer Notice of Determination, is mailed to the last bona fide employer. This notifies the employer that a determination has been made and informs the employer of the appeal rights available.

(d) When a claimant is paid benefits, Form BEN-8A, Notice of Potential Charge to Your Tax Rating Account, is mailed to all base period employers other than a separating employer (except State Accounts). These employers may enter a reason for and date of separation and return the form, to be received in the Unemployment Compensation Agency no later than fifteen (15) days after the initial mailing date. Based on this separation reason, if the law provides, these employers may be granted partial or total relief from the charges to their experience rating account; except as provided in Section 2(b) of this rule.

**Author:** Joseph S. Ammons, General Counsel **Statutory Authority:** Code of Ala. 1975, §§25-2-7, 25-2-8 and 25-4-111

**History:** Filed September 30, 1982, August 10, 1983, January 23, 1984. **Amended:** Filed September 7, 1995; effective October 12, 1995. **Amended:** Filed July 10, 2020; effective

**Ed. Note:** This rule is intended to implement §25-4-78, 25-4-91(c)(1)(2), 25-4-91(d)(1)(2), and 25-4-111, Code of Ala. 1975, as amended through February 24, 1983.